

# Classic Account – J.P. Morgan Investment Account switch into a J.P. Morgan ISA

Please complete in BLOCK CAPITALS and black ink. The form should then be returned in the pre-paid envelope to:

J.P. Morgan Asset Management, FREEPOST NAT17428, London EC2B 2BR.

If you have any questions regarding this form, please call our Investor Services team on 0800 20 40 20.

We have made arrangements with the administrators of the J.P.Morgan ISA to switch investments from the J.P. Morgan Investment Account into the J.P.Morgan ISA. Only those investors wishing to undertake such transactions should complete this form.

Please note that we are unable to proceed with this transaction unless you have also completed and returned a valid ISA application form for the tax year you are investing in. Please send this form, together with your completed ISA application form (if necessary) to: J.P. Morgan Asset Management, FREEPOST NAT17428, London EC2B 2BR

## 1. About you

Your title (e.g. Mr/Mrs/Miss/Ms/Other)

Surname

First name(s) in full

Your permanent residential address

Postcode

Telephone number

Email address

If you do not wish to receive marketing from J.P. Morgan Asset Management and other companies in the J.P. Morgan Group by email, please tick this box

Your date of birth (Day/Month/Year)

J.P. Morgan Investment Account number

## 2. Additional holders

1) Your title (e.g. Mr/Mrs/Miss/Ms/Other)

Surname

First name(s) in full

Your permanent residential address

Postcode

Telephone number

Email address

If you do not wish to receive marketing from J.P. Morgan Asset Management and other companies in the J.P. Morgan Group by email, please tick this box

Your date of birth (Day/Month/Year)

Your title (e.g. Mr/Mrs/Miss/Ms/Other)

Surname

2) First name(s) in full

Your permanent residential address

Postcode

Telephone number

Email address

If you do not wish to receive marketing from J.P. Morgan Asset Management and other companies in the J.P. Morgan Group by email, please tick this box

Your date of birth (Day/Month/Year)

Please ensure you read and sign the declaration overleaf

### 3. Your instructions

**Sales for a specified cash amount**

The maximum investment for the J.P. Morgan ISA is 10,680 in the current tax year.

Please sell shares to the value of £

Please list the investment trusts you would like to sell together with the percentage of above figure to be raised from each trust:

Fund name	Percentage
American	%
Asian	%
Asian Subscription Shares*	%
Brazil	%
Brazil Subscription Shares*	%
Chinese	%
Chinese Subscription Shares*	%
Claverhouse	%
Elect - Managed Growth	%
Elect - Managed Income	%
Emerging Markets	%
Emerging Markets Subscription Shares*	%
European - Growth	%
European - Income	%
European Smaller Companies	%
Global Emerging Markets Income	%
Income & Capital <span style="float:right">ORDINARY</span>	%
Income & Capital <span style="float:right">UNITS</span>	%
Income & Capital <span style="float:right">ZEROS</span>	%
Income & Growth <span style="float:right">CAPITAL</span>	%
Income & Growth <span style="float:right">INCOME</span>	%
Income & Growth <span style="float:right">UNITS</span>	%
Indian	%
Indian Subscription Shares*	%
Japanese	%
Japan Smaller Companies	%
Japan Smaller Companies Subscription Shares*	%
Mercantile	%
Mid Cap	%
Overseas	%
Russian Securities	%
Smaller Companies	%
US Smaller Companies	%
<b>Total</b>	<b>100%</b>

\* Subscription Shares offer shareholders the right to purchase shares at specified future dates or during a pre-determined period at pre-determined prices.

**Sale of entire holdings**

Please sell **ALL** my/our shares in the following investment trust(s) and invest the proceeds, subject to the current maximum annual allowances into an ISA.


Any amounts in excess of the maximum permitted ISA contribution will be refunded to your home address 5 days after settlement date. Alternatively, any shortfalls can be made up with cheque contributions. Please make cheques payable to 'J.P. Morgan Asset Management'.

Please tick this box if you have not received financial advice on this investment

### 4. Authority for all or part of the exchange proceeds to be transferred to one of joint holders or to a person other than the registered holder(s)

If the securities to be sold are in more than one name, you must complete and sign this authority to transfer the proceeds into the name of the ISA applicant. A transfer of cash, other than between spouses, may give rise to inheritance tax implications.

Please insert below the name(s) of the security(ies), the proceeds of which are to be transferred to another person:

Name of security(ies)

Number of securities to be transferred

I/We declare that I am/we are the legal owner(s) of the security(ies) listed above and I/we authorise J.P. Morgan Asset Management to transfer all/part (delete as appropriate) of the proceeds from those securities to:

Name of investor

to be invested with J.P. Morgan Asset Management in accordance with his/her instructions.

Please note that it may be more advantageous for you, from a capital gains tax point of view, to transfer securities to your spouse prior to sale. This may enable your spouse to use their capital gains tax personal allowance if you have already used yours. This authority does not authorise such a transfer, and if you wish to transfer to your spouse you must arrange it separately before proceeding with this share exchange application. If you are considering doing this, we recommend you consult a tax adviser.

## 5. Your signature

By signing this form I/we confirm that I am/we are 18 years of age or over and that I/we have read and understood this form and the Notes.

Your signature

Date (Day/Month/Year)

Additional holder's signature

Date (Day/Month/Year)

Additional holder's signature

Date (Day/Month/Year)

## Important notes

- The sale of your J.P. Morgan Investment Account investments will be carried out on the business day following receipt of this instruction (together with your completed ISA application form).
- For investors who have received financial advice, a cooling-off period of seven calendar days applies on new lump sum investments, which will begin on the day the ISA administrator receives the completed application forms. The investment into the ISA will usually be made on the business day after the cooling-off period has finished. If you haven't received financial advice, investments into the ISA are bought on the day of the sale of your J.P. Morgan Investment Account investment.
- You should note that you will be out of the market during the times mentioned above. Neither J.P. Morgan Asset Management nor its agents can be held responsible for any fluctuations in the market prices of shares of the investment trusts between their sale and their purchase.
- J.P. Morgan or any of its agents cannot take any responsibility for the performance of any investments made and cannot give any advice.

Please note all correspondence should be sent to the address shown at the top of the application and not to the registered address.

**J.P. Morgan Trustee & Administration Services** Authorised and regulated by the Financial Services Authority. Registered Office: 125 London Wall, London EC2Y 5AJ.  
Registered in England No. 1823867.



# Classic Account – J.P. Morgan ISA application for the tax year 2011/2012

Please complete this form in BLOCK CAPITALS and black ink. You should read the Declaration overleaf, and the 'Data Privacy Policy and Anti-Money Laundering' section of the Terms and Conditions before completing this application. The form should then be returned in the pre-paid envelope provided (together with your cheque or direct debit instruction form) to: **J.P. Morgan Asset Management, FREEPOST NAT17428, London EC2B 2BR.** If you have any questions regarding this form, please call our Investor Services team on 0800 20 40 20.

To be completed if you have not contributed to your ISA in the 2011/2012 tax year

## 1. About you

Your title (e.g. Mr/Mrs/Miss/Ms/Other)  Surname

First name(s) in full

Existing account number (if you have one)

Your permanent residential address

Postcode

Telephone number

Email address

If you do not wish to receive marketing from J.P. Morgan Asset Management and other companies in the J.P. Morgan Group by email, please tick this box

Your date of birth (Day/Month/Year)

Do you have a National Insurance Number?  
 YES  If yes, please enter your National Insurance number here  
 NO

We will be unable to process your application if this section has not been fully completed.

## 2. Investment choice

You can invest up to £10,680 in our Stocks and Shares ISA in the current tax year. If you invest in a Cash ISA with another provider in the same tax year, the remainder of your ISA allowance can be invested in the J.P. Morgan ISA.

- Lump sums (minimum £500 per trust)**  
Please attach a personalised cheque for the total lump sum, made payable to: 'J.P. Morgan Asset Management'.
- Monthly savings (minimum £50 per trust)**  
Send no money but please complete the ISA Direct Debit mandate.

## 3. Choosing your trust

Please state the amount you wish to invest next to the trust(s) of your choice. You may invest in more than one trust, provided you do not exceed the annual allowance. Please refer to the Key Features document for investment limits.

	Lump sum (minimum £500)	Monthly sum (minimum £50)
American		
Asian		
Asian Subscription Shares*		
Brazil		
Brazil Subscription Shares*		
Chinese		
Chinese Subscription Shares*		
Claverhouse		
Elect - Managed Growth		
Elect - Managed Income		
Emerging Markets		
Emerging Markets Subscription Shares*		
European - Growth		
European - Income		
European Smaller Companies		
Global Emerging Markets Income		
Income & Capital ordinary		
Income & Capital units		
Income & Capital zeros		
Income & Growth capital		
Income & Growth income		
Income & Growth units		
Indian		
Indian Subscription Shares*		
Japanese		
Japan Smaller Companies		
Japan Smaller Companies Subscription Shares*		
Mercantile		
Mid Cap		
Overseas		
Russian Securities		
Smaller Companies		
US Smaller Companies		
<b>Total £</b>		

\*Subscription Shares offer shareholders the right to purchase shares at specified future dates or during a pre-determined period at pre-determined prices.

Please ensure you read and sign the declaration overleaf

Cheques should be drawn on a bank account in your name, or a joint account to which you are a party or a building society cheque endorsed with your name. Other third party cheques are not accepted.

Please tick this box if you have not received financial advice on this investment

## 4. Income details

If your chosen trust(s) pays an income you can have it paid directly into your bank or building society by completing the details below. If this section is not completed any income will be automatically reinvested in your trust(s).

Name of bank or building society

Name of account holder(s)



Branch address




Postcode

Account number

Bank sort code

Reference number (Building Society)

- (vi) I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform J.P. Morgan Asset Management if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- (vii) I have read and agree to the J.P. Morgan ISA Terms and Conditions.
- (viii) To the best of my knowledge and belief, all statements on this application form are true and correct and I shall inform the ISA Manager promptly of any change therein.
- (ix) I confirm that I am not a US or Canadian Citizen/resident and neither hold nor will invest in an ISA for another US or Canadian citizen/resident.

### B. I authorise the ISA Manager:

- (i) to hold cash subscriptions, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash received on account of my ISA,
- (ii) to make on my behalf any claims to relief from tax in respect of ISA investments,
- (iii) on my request to transfer or pay to me ISA investments, interest, distributions, dividends, rights or other proceeds in respect of such investments or any cash held on account for my ISA.

This is our standard client agreement upon which we intend to rely. For your own benefit and protection you should read these terms carefully before signing them together with the separate Key Features and Terms and Conditions document. If you do not understand any point please ask for information.

J.P. Morgan Asset Management is unable to assess or provide advice on the suitability of this product for you or your individual circumstances, and therefore you will not benefit from the investor protections under the FSA rules on assessing suitability. If you have any questions about the suitability of this investment for you and would like to benefit from the additional protections provided under the FSA's rules on suitability, then please contact your Financial Adviser.

From time to time J.P. Morgan Asset Management and other companies in the J.P. Morgan Group may provide customers with details of new investments, product launches and special offers by mail or telephone. If you would prefer not to receive this information, please tick this box.

If your investment with us is linked to a Financial Adviser you will not be contacted in this way.

Your signature

Date (Day/Month/Year)

## 5. Your signature and declaration

### J.P. Morgan ISA Declaration

To J.P. Morgan Trustee & Administration Services Limited ("the ISA Managers")

#### A. I declare that:

- (i) I wish to subscribe to a J.P. Morgan ISA (a Stocks and Shares ISA) for the 2011/2012 tax year and for monthly or consecutive lump sum subscriptions each subsequent tax year until further notice.
- (ii) All subscriptions, made, and to be made, to my J.P. Morgan ISA belong to me.
- (iii) I am 18 years of age or over.
- (iv) I have not subscribed and will not subscribe more than £10,680 in total to a Cash ISA and a Stocks and Shares ISA in the same tax year.
- (v) I have not subscribed and will not subscribe to any other Stocks and Shares ISA in the same tax year that I subscribe to this Stocks and Shares ISA.

Please note all correspondence should be sent to the address shown at the top of the application and not to the registered address.

**J.P.Morgan Trustee & Administration Services Limited** Authorised and regulated by the Financial Services Authority. Registered Office: 125 London Wall, London EC2Y 5AJ.

Registered in England No. 1823867.