

WealthManager+ Account – J.P. Morgan Stocks and Shares ISA Transfer Form

(Part One)

Please complete this form in BLOCK CAPITALS and black ink. You should read the Declaration overleaf and the 'Data Privacy Policy and Anti-Money Laundering' section of the Key Features and Terms and Conditions document before completing this form. The form should then be returned to:

J.P. Morgan Asset Management, FREEPOST NAT17428, London EC2B 2BR.

If you have any questions regarding this form, please call our Investor Services team on 0800 20 40 20.

Financial Adviser's stamp

FSA number

Agent number

(Please note, no financial adviser commission is payable through J.P. Morgan WealthManager+)

There are two parts to the ISA Transfer Form: **Part One** - this is your instruction to us to buy shares and to open your J.P. Morgan Stocks and Shares ISA.

Part Two - we forward this part to your existing ISA manager to instruct them to sell your current investments.

1. About you

Your title (e.g. Mr/Mrs/Miss/Ms/Other) Surname

First name(s) in full

Your existing account number (if you have one)

Your permanent residential address

Postcode

Daytime telephone number

Evening telephone number

Email address

Your date of birth (Day/Month/Year)

1
9

Do you have a National Insurance Number?

Yes If yes, please enter you National Insurance Number here

No

We will be unable to process your application if this section has not been fully completed. (See notes overleaf).

2. Choosing your investment

A. Please read the important notes at the end of this section before choosing your investments.

B. Please tell us which type of ISA you are transferring to us.

Stocks and Shares Cash

C. Please indicate how you want to transfer your investments and complete the relevant section below:

Cash In-specie
(the transfer of assets in their current form)

IF TRANSFERRING IN CASH

Please select the funds or investment trusts you wish to invest in and the proportion(s) to be allocated in the following lists, including the proportion to remain as cash.

J.P. Morgan Funds

Please state the fund(s) in which you wish to invest and whether you wish to invest in Income (Inc) or Accumulation (Acc) shares. If you have not marked either box, we will automatically buy the accumulation shares.

Funds	Inc	Acc	Percentage (minimum £500 per fund)
JPM Asia			
JPM Balanced Total Return			
JPM Cautious Total Return			
JPM Emerging Markets			
JPM Emerging Markets Infrastructure			
JPM Europe			
JPM Europe Dynamic (ex-UK)			
JPM Global			
JPM Global Consumer Trends			
JPM Global Equity Income			
JPM Global (ex-UK) Bond			
JPM Global Financials			
JPM Global High Yield Bond			
JPM Global Mining			
JPM Global Property Securities			
JPM Japan			
JPM Multi-Asset Income			
JPM Multi-Manager Growth			
JPM Natural Resources			
JPM New Europe			
JPM Sterling Corporate Bond			
JPM Strategic Bond			
JPM UK Active Index Plus			
JPM UK Dynamic			
JPM UK Equity			
JPM UK Equity & Bond Income			
JPM UK Focus			
JPM UK Higher Income			
JPM UK Managed Equity			
JPM UK Strategic Equity Income			
JPM UK Strategic Growth			
JPM US			
JPM US Equity Income			
A			
A			
A			
Total %			

^A If the fund you wish to invest in is not shown in the list above please enter the fund name in the space provided above.

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(Part One)

J.P.Morgan
Asset Management

WealthManager+

Investment trusts

Please state the trust(s) in which you wish to invest.

Investment trusts	Percentage (minimum £500 per trust)
American	
Asian	
Asian Subscription Shares ^b	
Brazil	
Brazil Subscription Shares ^b	
Chinese	
Chinese Subscription Shares ^b	
Claverhouse	
Elect - Managed Growth	
Elect - Managed Income	
Emerging Markets	
Emerging Markets Subscription Shares ^b	
European – Growth	
European – Income	
European Smaller Companies	
Global Emerging Markets Income	
Income & Capital	ORDINARY
Income & Capital	UNITS
Income & Capital	ZEROS
Income & Growth	CAPITAL
Income & Growth	INCOME
Income & Growth	UNITS
Indian	
Indian Subscription Shares ^b	
Japanese	
Japan Smaller Companies	
Japan Smaller Companies Subscription Shares ^b	
Mercantile	
Mid Cap	
Overseas	
Russian Securities	
Smaller Companies	
US Smaller Companies	
Total %	

^b Subscription Shares offer shareholders the right to purchase shares at specified future dates or during a pre-determined period at pre-determined prices.

Amount to remain as cash %
 Cash

IF TRANSFERRING IN-SPECIE

Please ensure you have up-to-date details of your investments and the exact number of units/shares you hold for each. If additional space is required, please provide details on a separate page.

Only investments which are available within the WealthManager+ Investment Range can be transferred in-specie. As part of the transfer process, investments which are not available will be identified. You will be given the opportunity to choose other investments from the WealthManager+ Investment Range and/or transfer as cash to invest later.

Name of investment	Number of units/shares held	Approximate value £
Total %		

Please tick this box if you have not received financial advice on this investment

Important notes:

- You can transfer some or all of the money you have saved in Cash ISAs and Stocks and Shares ISAs in previous tax years into the J.P. Morgan Stocks and Shares ISA without affecting your annual ISA allowance.
- You can transfer money saved in a Cash ISA in the current tax year into the J.P. Morgan Stocks and Shares ISA provided you transfer the whole amount saved up to the date of the transfer. This will count as part of that year's ISA allowance and will be treated as if it was invested directly into the J.P. Morgan Stocks and Shares ISA.
- You may not subscribe more than £10,680 in total to a Cash ISA and a Stocks and Shares ISA in the current tax year.
- If you subscribe to a J.P. Morgan ISA, you may not subscribe to any other Stocks and Shares ISAs in the same year.
- If you are transferring an ISA for the current tax year and you wish to make additional contributions, once you have received confirmation of your new account number you will be able to contribute to your J.P. Morgan Stocks and Shares ISA online at www.jpmorganwealthmanagerplus.co.uk or contact our Investor Services team for an application form.

3. Income details

Please indicate your income preferences for your J.P. Morgan ISA. This instruction will apply across your entire J.P. Morgan ISA. If you do not select a preference we will automatically reinvest income in additional shares of the same investment.

- Reinvest income in additional shares of the same investment
 Pay income as cash into your WealthManager+ Cash Account
 Pay income as cash into your J.P. Morgan ISA
 Pay income into your bank or building society account.

If you select for income to be paid into your bank or building society account, please complete the section below. Wherever possible we will endeavour to pay any income due at the timescale requested. However, please note, that some investments may pay out income at different timescales to those requested. For details of when income is paid please refer to the Simplified Prospectus.

Please indicate when you want your income to be paid out. The date is calculated from when your application is processed.

- Immediately Twice yearly
 Monthly Annually
 Quarterly Specify a minimum amount £

Name of bank or building society

Name of account holder(s)

Branch address

Postcode

Account number Bank sort code

Reference number (Building society)

Please note, any withdrawals from your J.P. Morgan Investment Account will be paid into the above bank or building society account.

4. Your signature and declaration

J.P. Morgan ISA Declaration

To: J.P. Morgan Trustee & Administration Services Limited (“the ISA Manager”)

A. I declare that:

- (i) I wish to subscribe to a J.P. Morgan Stocks and Shares ISA for the 2011/2012 tax year and for monthly or consecutive lump sum subscriptions each subsequent tax year until further notice.
- (ii) All subscriptions, made, and to be made, to my J.P. Morgan Stocks and Shares ISA belong to me.
- (iii) I am 18 years of age or over.
- (iv) I have not subscribed and will not subscribe more than £10,680 in total to a Cash ISA and a Stocks and Shares ISA in the same tax year.
- (v) I have not subscribed and will not subscribe to any other Stocks and Shares ISA in the same tax year that I subscribe to the J.P. Morgan Stocks and Shares ISA.
- (vi) I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform J.P. Morgan Asset Management if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- (vii) I have read and agree to the J.P. Morgan ISA Terms and Conditions.
- (viii) To the best of my knowledge and belief, all statements on the application are true and correct and I shall inform the ISA Manager promptly of any change therein.
- (ix) I confirm that I am not a US or Canadian Citizen/resident and neither hold nor will invest in an ISA for another US or Canadian citizen/resident.

B. I authorise the ISA Manager:

- (i) to hold cash subscriptions, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash received on account of my ISA,
- (ii) to make on my behalf any claims to relief from tax in respect of ISA investments,
- (iii) on my request to transfer or pay to me ISA investments, interest, distributions, dividends, rights or other proceeds in respect of such investments or any cash held on account for my ISA.

This is our standard client agreement upon which we intend to rely. For your own benefit and protection you should read these terms carefully before signing them together with the separate Key Features and Terms and Conditions document and for OEIC investments the Simplified Prospectus or for Investment Trusts the Investment Trust Profiles document. If you do not understand any point please ask for information.

If investing via a financial adviser, I understand that my financial adviser will have online access to view my account and documents.

J.P. Morgan Asset Management is unable to assess or provide advice on the suitability of this product for you or your individual circumstances, and therefore you will not benefit from the investor protections under the FSA rules on assessing suitability. If you have any questions about the suitability of this investment for you and would like to benefit from the additional protections provided under the FSA’s rules on suitability, then please contact your Financial Adviser.

From time to time J.P. Morgan Asset Management and other companies in the J.P. Morgan Group may provide customers with details of new investments, product launches and special offers by post or email. If you would prefer not to receive this information, please tick the relevant box(es) below:

By post

By Email

Your signature

Date (Day/Month/Year)

WealthManager+ Account – J.P. Morgan Stocks and Shares ISA Transfer Form

(Part Two)

J.P.Morgan
Asset Management

WealthManager+

1. About you

Your title (e.g. Mr/Mrs/Miss/Ms/Other) Surname

First name(s) in full

Your existing account number (if you have one)

Your permanent residential address

Postcode

2. Your existing ISA details

Name of ISA manager

Address of ISA manager

Postcode

ISA account number

Total subscriptions from 6 April to date of transfer into your Stocks and Shares ISA:

3. Instructions to existing ISA manager

I instruct you to sell my total ISA holding

Yes

OR

I instruct you to sell part of my ISA holding

Investments that I wish to transfer in cash (sell investments)

Please list the fund(s) that you hold with the above ISA manager that you wish to sell and transfer the proceeds to the J.P. Morgan ISA.

Fund Manager	Fund Name

Investments that I wish to transfer in-specie (keep investments)

Please list the fund(s) that you hold with the above ISA manager that you wish to retain when you transfer your investment(s) to the J.P. Morgan ISA.

Fund Manager	Fund Name	Type of Unit/Share (Acc/Inc)

I hereby instruct you to sell part or all of my investments within my ISA immediately and transfer the sale proceeds of such investments together with any interest, dividends, distributions, rights and any other cash within my ISA (less any amount you are entitled to keep under the terms of the ISA), or transfer my investments in-specie to J.P. Morgan Asset Management, FREEPOST NAT17428, London EC2B 2BR. Please close my ISA referred to above.

Your signature

Date (Day/Month/Year)

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(Part Two)

J.P.Morgan
Asset Management

WealthManager+

To be completed by existing ISA manager

The following information must be provided for each tax year of ISA investment (please attach additional sheets if necessary).

Date ISA opened

Total contributions

Current value of ISA transferred

Declaration

to J.P. Morgan Trustee & Administration Services Limited

I declare that:

- a) I have fulfilled my obligations to the ISA investor, the Board of Inland Revenue or otherwise, which are imposed by the ISA Regulations, and
- b) the information contained in this notice is correct.

Your signature

For and on behalf of

Date (Day/Month/Year)

Please make the cheque payable to **J. P. Morgan Asset Management**
Re: Client's name. A complete history of the ISA referred to herein, including a schedule of outstanding dividends and tax credits, should be sent to J.P. Morgan Trustee & Administration Services as soon as practicable.

J. P. Morgan Asset Management

FREEPOST NAT17428

London EC2B 2BR

Tax Office Shoreditch

Tax Office Ref. S025A

Please note all correspondence should be sent to the address shown at the top of the application and not to the registered address.

J.P. Morgan Trustee & Administration Services Limited is authorised and regulated by the Financial Services Authority. Registered Office: 125 London Wall, London EC2Y 5AJ.

Registered in England No. 1823867.

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